

At a Surrogate's Court held in and for the County of Nassau, at the Courthouse at 262 Old Country Road, Mineola, N.Y. 11501, in said County on the _____ day of _____, 19

PRESENT: HON. _____

SURROGATE

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In the Matter of the Guardianship of

ORDER TO INVEST

File No.

_____,
an Infant/Mentally Retarded Person
-----X

A Petition having been presented to this court by:

_____, Guardian of the property of _____, an infant/Mentally Retarded Person, verified on _____, praying for an order authorizing the petitioner to enter into an Investment Advisor's Agreement in accordance with the provisions of the Prudent Investor Act (EPTL 11-2.3) and to obtain custodial services from

(name and address of custodian)

and upon reading and filing said petition and after due deliberation thereon, it is,

ORDERED, that the petitioner, _____, Guardian of the property of _____, an infant/Mentally Retarded Person, and she/he is hereby directed to withdraw the funds currently on deposit in the _____ (name and address of depository where funds are on deposit)

and deposit said funds with _____ (name of custodian)

as custodian of all of the proceeds of funds payable to the infant/Mentally Retarded Person, together with any other funds belonging to

said infant which may come into the hands of said Guardian, and it is further,

ORDERED, that _____, as Guardian,
(name of guardian)
is hereby authorized to employ, pursuant to EPTL 11-2.3

_____ as Investment Advisor
(name of investment advisor)
and that after such employment, the Guardian and Investment
Advisor shall formulate and document a comprehensive investment
program for the ward in accordance with the provisions of said
N.Y. Prudent Investor Act, and shall thereafter invest the principal
and income in accordance with the investment program so developed,
and it is,

ORDERED, that other than in the normal course of investment
and reinvestment of funds, the assets so deposited shall not be
released from the custody of _____
(name of the custodian)
without order of this court except, however, the Guardian may pay
the Investment Advisor and Custodian in accordance with the
agreement attached to the petition, and may pay any income taxes of
the ward without further order of this court, and it is,

ORDERED, that the restrictions of joint control placed on
the letters of Guardianship be removed, and it is,

ORDERED, that the Guardian is to file Annual Accountings
on a form proscribed by the clerk of this court, and it is further

ORDERED, that the Guardian file a Final Accounting when the
Guardianship is for an infant and said infant reaches majority. The
Guardian will file an Order to Close with the court when the infant
reaches majority.